

## 3.27 SO(3): Infinite-Dimensional Representation on Phase-Space Functions

Next, we take the *phase space* known from classical Hamiltonian mechanics as our representation space. A point (q, p) in this space is given by the (generalized) position coordinates *and* the canonical momentum coordinates. It describes the state of the classical system. A transformation that acts on such a state and leaves the Hamiltonian formalism intact is known as a *canonical transformation* [NNCM, Ch. 7.3]. Here, we focus on a single particle in 3D space and thus we have  $\vec{q} = (x, y, z)^T$  and  $\vec{p} = (p_x, p_y, p_z)^T$  together defining a 6-dimensional phase space. Furthermore, we take as the canonical transformation  $\vec{q}' = R\vec{q}$  and  $\vec{p}' = R\vec{p}$ , which rotates the state of the particle about a given axis. (The fact that  $\vec{p}$  and  $\vec{q}$  are transformed by the same matrix is a consequence of *R* being orthogonal.) See the upper branch of the diagram.

Now, let's consider (scalar) functions on our 6-dimensional phase space:  $f(\vec{q}, \vec{p})$ . As we know from the previous example, such functions represent classical observables such as the angular momentum of the particle about the *z* axis  $f(\vec{q}, \vec{p}) = xp_y - yp_x$ . Additional examples of *phase-space functions* are the distance of the particle from the origin  $f(\vec{q}, \vec{p}) = \sqrt{x^2 + y^2 + z^2}$ , the momentum of the particle in the *x* direction  $f(\vec{q}, \vec{p}) = p_x$ , and the kinetic energy of the particle  $f(\vec{q}, \vec{p}) = \frac{1}{2}(p_x^2 + p_y^2 + p_z^2)/m$ . Not surprisingly, functions like these furnish an infinite dimensional representation of SO(3).

How does SO(3) act on these phase-space functions? It acts by multiplying the two 3D arguments  $\vec{q}$  and  $\vec{p}$  by the inverse of the 3×3 rotation matrix:  $f'(\vec{q}, \vec{p}) = f(R^{-1}[\theta_x, \theta_y, \theta_z]\vec{q}, R^{-1}[\theta_x, \theta_y, \theta_z]\vec{p})$ . We discussed this kind of transformation earlier for the infinite-dimensional representation of SU(2). How can we write this transformation as a disembodied operator  $\tilde{R}(\theta_x, \theta_y, \theta_z)$  that acts on the function  $f(\vec{q}, \vec{p})$ ? Using our informal dot notation, we write  $\tilde{R}(\theta_x, \theta_y, \theta_z) = \{\cdot (R^{-1}[\theta_x, \theta_y, \theta_z] \cdot, R^{-1}[\theta_x, \theta_y, \theta_z] \cdot \}$ , where the first dot is a place holder for the function's name, the second dot is a place holder for the first argument, and the third dot is a place holder for the second argument. See the lower branch of the diagram.

What are the elements  $\tilde{X}$  of our new Lie-algebra representation? Differentiating  $\tilde{R}(\theta_x, \theta_y, \theta_z) f(\vec{q}, \vec{p}) = f(R^{-1}\vec{q}, R^{-1}\vec{p})$  with respect of its parameters and evaluating the result at the identity element yields  $\tilde{X}f(\vec{q}, \vec{p}) = \vec{\nabla}_q f(\vec{q}, \vec{p}) \cdot [-X\vec{q}] + \vec{\nabla}_p f(\vec{q}, \vec{p}) \cdot [-X\vec{p}]$ , where we made use of the chain rule and wrote the generator of R as X, which we already know from the representation in the upper branch. Now, splitting off the operator from the function, we get the differential operator

$$\tilde{X} = -(X\vec{q}\cdot\vec{\nabla}_q + X\vec{p}\cdot\vec{\nabla}_p).$$

For example, for  $X = T_z$ , we find

$$\begin{split} \tilde{T}_z &= -\left[ \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} x \\ y \\ z \end{pmatrix} \cdot \begin{pmatrix} \partial/\partial x \\ \partial/\partial y \\ \partial/\partial z \end{pmatrix} + \begin{pmatrix} 0 & -1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix} \begin{pmatrix} p_x \\ p_y \\ p_z \end{pmatrix} \cdot \begin{pmatrix} \partial/\partial p_x \\ \partial/\partial p_y \\ \partial/\partial p_z \end{pmatrix} \right] \\ &= -\left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} + p_x \frac{\partial}{\partial p_y} - p_y \frac{\partial}{\partial p_x} \right). \end{split}$$

The diagram shows the remaining two basis generators. If we pack all three basis generators into a vector, we can write more compactly  $(\tilde{T}_x, \tilde{T}_y, \tilde{T}_z)^T = -(\vec{q} \times \vec{\nabla}_q + \vec{p} \times \vec{\nabla}_p)$ . These basis generators are essentially the same ones we found earlier for the infinite-dimensional representation of SU(2), except that now they also act on the momentum coordinates.

Note that the equation  $\tilde{X} = -(X\vec{q} \cdot \vec{\nabla}_q + X\vec{p} \cdot \vec{\nabla}_p)$  tells us how to "upgrade" the generator X, acting on phase-space coordinates, to the generator  $\tilde{X}$ , acting on phase-space functions. This will become important when we come to the Poisson brackets in the next example.

To check whether an operator is orthogonal, we test if  $\int \tilde{R}f(x)\tilde{R}g(x) dx = \int f(x)g(x) dx$  holds for any pair of functions f(x) and g(x). (This is analogous to the matrix test  $(Rx)^T Ry = x^T y$  from which  $R^T R = I$  follows.) Similarly, to check whether an operator is antisymmetric, we test if  $\int f(x)\tilde{X}g(x) dx = -\int \tilde{X}f(x)g(x) dx$  holds for any pair of functions f(x) and g(x). (This is analogous to the matrix test  $x^T Xy = -(Xx)^T y$  from which  $X = -X^T$  follows.) It turns out that our rotation operator  $\tilde{R}$  is orthogonal and our differential operator  $\tilde{X}$  is antisymmetric.

In quantum mechanics, the eigenvectors (or eigenfunctions) of the generators played an important role: they represented the states for which the corresponding observable has a definite value. In classical mechanics all states have a definite value and the eigenvectors (or eigenfunctions) of the generators merely characterize the vector field that is associated with the transformation. For example, the basis generator  $T_z$  has eigenvectors  $(i, 1, 0)^T$ ,  $(-i, 1, 0)^T$ , and  $(0, 0, 1)^T$  with eigenvalues i, -i, and 0, respectively. From the last eigenvector/eigenvalue pair we conclude that the vector field has no flow in the z direction.