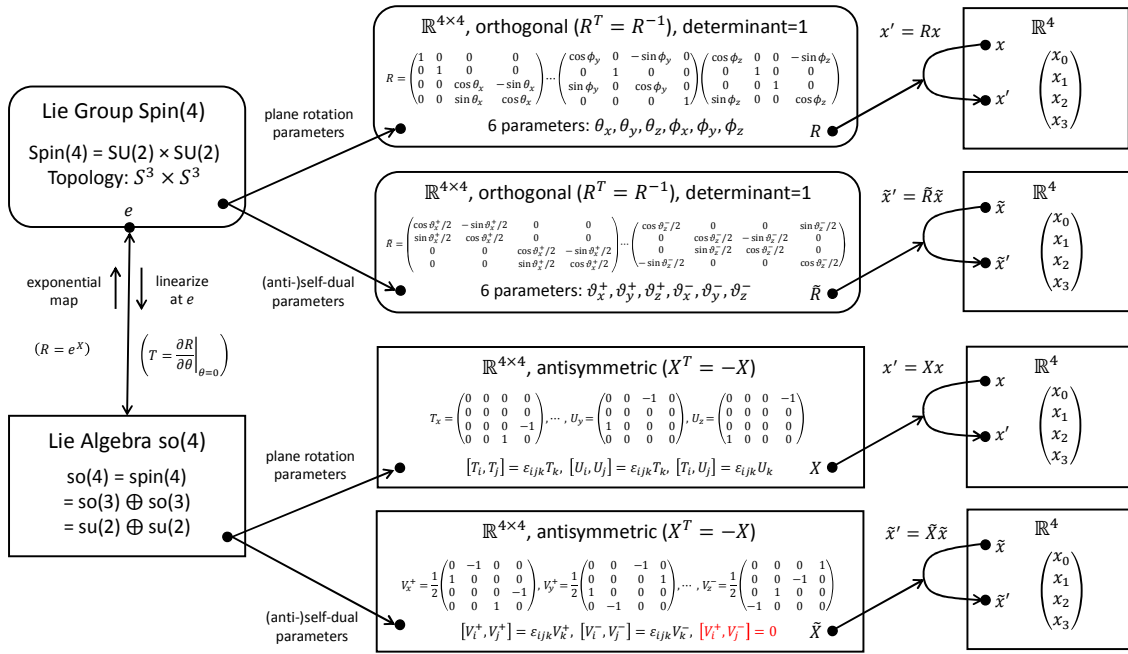


## 5.5 Spin(4): Representation with (Anti-)Self-Dual Parameters; $\mathfrak{so}(4) = \mathfrak{so}(3) \oplus \mathfrak{so}(3)$



Inspired by our experience with the self-dual and anti-self-dual tensor representations (see previous example), we are going to switch to a new set of parameters: instead of the plane-rotation parameters,  $\theta_x, \theta_y, \theta_z, \phi_x, \phi_y, \phi_z$ , we use the *self-dual double-rotation* parameters,  $\vartheta_x^+ = \theta_x + \phi_x, \vartheta_y^+ = \theta_y + \phi_y, \vartheta_z^+ = \theta_z + \phi_z$ , and the *anti-self-dual double-rotation* parameters,  $\vartheta_x^- = \theta_x - \phi_x, \vartheta_y^- = \theta_y - \phi_y, \vartheta_z^- = \theta_z - \phi_z$ . The parameter  $\vartheta_x^+$ , for example, rotates simultaneously in the  $yz$  and the  $wx$  plane by the same amount (angle), whereas the parameter  $\vartheta_x^-$  rotates by equal and *opposite* amounts in the same two planes. Note that these double rotations no longer rotate about a 2D plane but about a point, namely the origin.

To change the parameters, we start with the original 4D-rotation matrices (see the upper branch of the diagram) and substitute  $\theta_i = \frac{1}{2}(\vartheta_i^+ + \vartheta_i^-)$  and  $\phi_i = \frac{1}{2}(\vartheta_i^+ - \vartheta_i^-)$  into them. Then, we split each factor into two matrices, one depending only on  $\vartheta_x^+$  and the other only on  $\vartheta_x^-$ . Finally, we combine matrices that depend on the same parameter into a single one. A general 4D rotation can now be written as the product  $\tilde{R} = R_x^+(\vartheta_x^+) \cdot R_y^+(\vartheta_y^+) \cdot R_z^+(\vartheta_z^+) \cdot R_x^-(\vartheta_x^-) \cdot R_y^-(\vartheta_y^-) \cdot R_z^-(\vartheta_z^-)$ , where

$$R_x^+ = \begin{pmatrix} \cos \vartheta_x^+/2 & -\sin \vartheta_x^+/2 & 0 & 0 \\ \sin \vartheta_x^+/2 & \cos \vartheta_x^+/2 & 0 & 0 \\ 0 & 0 & \cos \vartheta_x^+/2 & -\sin \vartheta_x^+/2 \\ 0 & 0 & \sin \vartheta_x^+/2 & \cos \vartheta_x^+/2 \end{pmatrix}, R_x^- = \begin{pmatrix} \cos \vartheta_x^-/2 & \sin \vartheta_x^-/2 & 0 & 0 \\ -\sin \vartheta_x^-/2 & \cos \vartheta_x^-/2 & 0 & 0 \\ 0 & 0 & \cos \vartheta_x^-/2 & -\sin \vartheta_x^-/2 \\ 0 & 0 & \sin \vartheta_x^-/2 & \cos \vartheta_x^-/2 \end{pmatrix},$$

$$R_y^+ = \begin{pmatrix} \cos \vartheta_y^+/2 & 0 & -\sin \vartheta_y^+/2 & 0 \\ 0 & \cos \vartheta_y^+/2 & 0 & \sin \vartheta_y^+/2 \\ \sin \vartheta_y^+/2 & 0 & \cos \vartheta_y^+/2 & 0 \\ 0 & -\sin \vartheta_y^+/2 & 0 & \cos \vartheta_y^+/2 \end{pmatrix}, R_y^- = \begin{pmatrix} \cos \vartheta_y^-/2 & 0 & \sin \vartheta_y^-/2 & 0 \\ 0 & \cos \vartheta_y^-/2 & 0 & \sin \vartheta_y^-/2 \\ -\sin \vartheta_y^-/2 & 0 & \cos \vartheta_y^-/2 & 0 \\ 0 & -\sin \vartheta_y^-/2 & 0 & \cos \vartheta_y^-/2 \end{pmatrix},$$

$$R_z^+ = \begin{pmatrix} \cos \vartheta_z^+/2 & 0 & 0 & -\sin \vartheta_z^+/2 \\ 0 & \cos \vartheta_z^+/2 & -\sin \vartheta_z^+/2 & 0 \\ 0 & \sin \vartheta_z^+/2 & \cos \vartheta_z^+/2 & 0 \\ \sin \vartheta_z^+/2 & 0 & 0 & \cos \vartheta_z^+/2 \end{pmatrix}, R_z^- = \begin{pmatrix} \cos \vartheta_z^-/2 & 0 & 0 & \sin \vartheta_z^-/2 \\ 0 & \cos \vartheta_z^-/2 & -\sin \vartheta_z^-/2 & 0 \\ 0 & \sin \vartheta_z^-/2 & \cos \vartheta_z^-/2 & 0 \\ -\sin \vartheta_z^-/2 & 0 & 0 & \cos \vartheta_z^-/2 \end{pmatrix},$$

as shown in the lower branch of the diagram. This product can be split into a *self-dual* double rotation  $R^+ = R_x^+(\vartheta_x^+) \cdot R_y^+(\vartheta_y^+) \cdot R_z^+(\vartheta_z^+)$  and an *anti-self-dual* double rotation  $R^- = R_x^-(\vartheta_x^-) \cdot R_y^-(\vartheta_y^-) \cdot R_z^-(\vartheta_z^-)$ . Amazingly, it turns out that these two types of rotations *commute*:  $\tilde{R} = R^+ \cdot R^- = R^- \cdot R^+$ !

Taking the derivatives of the rotation matrix  $\tilde{R}$  with respect to the new parameters and setting them to zero, we find the following basis generators:

$$V_x^+ = \frac{1}{2} \begin{pmatrix} 0 & -1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, V_y^+ = \frac{1}{2} \begin{pmatrix} 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, V_z^+ = \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & -1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \end{pmatrix},$$

$$V_x^- = \frac{1}{2} \begin{pmatrix} 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & -1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, V_y^- = \frac{1}{2} \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ -1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \end{pmatrix}, V_z^- = \frac{1}{2} \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix}.$$

Note that these basis generators are just linear combinations of the old ones:  $V_x^+ = \frac{1}{2}(T_x + U_x)$ ,  $V_y^+ = \frac{1}{2}(T_y + U_y)$ ,  $V_z^+ = \frac{1}{2}(T_z + U_z)$  and  $V_x^- = \frac{1}{2}(T_x - U_x)$ ,  $V_y^- = \frac{1}{2}(T_y - U_y)$ ,  $V_z^- = \frac{1}{2}(T_z - U_z)$ . Both bases span the same space, namely the space of all antisymmetric 4x4 matrices. The diagram shows the original basis generators in the upper branch and the new basis generators in the lower branch.

What are the commutation relations among the new basis generators  $V_i^+$  and  $V_i^-$ ? Amazingly, all three  $V_i^+$  commute with all three  $V_i^-$ , that is,  $[V_i^+, V_j^-] = 0$ ! In other words, the  $so(4)$  algebra falls apart into two independent parts [GTNut, Ch. I.3, Appendix 2, p. 83]. Moreover, the commutation relations among the  $V_i^+$  are the same as those among the  $V_i^-$ , namely  $[V_i^+, V_j^+] = \varepsilon_{ijk} V_k^+$  and  $[V_i^-, V_j^-] = \varepsilon_{ijk} V_k^-$ . Whereas there were some similarities between the  $T_i$  and  $U_i$ , the  $V_i^+$  and  $V_i^-$  behave identically! Finally, the commutation relations  $[V_i^\pm, V_j^\pm] = \varepsilon_{ijk} V_k^\pm$  are exactly those known from  $so(3)$ . All of this can be summarized by writing  $so(4) = so(3) \oplus so(3)$ , that is, the  $so(4)$  algebra is the direct sum of two  $so(3)$  algebras. (Note that we are now talking about the direct sum of two algebras, not two representations.)

It turns out that the new matrix  $\tilde{R}$  is *not* a representation of  $SO(4)$  but of  $Spin(4)$ , its *double cover*. Note that the new parameters  $\vartheta_i^\pm$  range from 0 to  $720^\circ$  and that  $\vartheta_i^\pm = 0$  and  $\vartheta_i^\pm = 360^\circ$  both parametrize the identity. By exponentiating  $spin(4) = so(4) = so(3) \oplus so(3) = su(2) \oplus su(2)$ , we find that  $Spin(4)$  is the direct product  $SU(2) \times SU(2)$ , which explains why  $\tilde{R}$  can be written as a product of two commuting factors. (The *direct product* and the *direct sum* are both based on the Cartesian product of the underlying sets. The *product* or *sum* in the name refers to the operation defined on these sets.) Finally, note that all representations of  $SO(4)$  are also representations of  $Spin(4)$ .

For additional information about plane rotations and double rotations in four dimensions, see <https://twitter.com/johncarlosbaez/status/1290324611222011908>, [https://en.wikipedia.org/wiki/Rotations\\_in\\_4-dimensional\\_Euclidean\\_space](https://en.wikipedia.org/wiki/Rotations_in_4-dimensional_Euclidean_space); for visualizations, see <http://eusebeia.dyndns.org/4d/vis/10-rot-1.>)